



National Fixed Income Conference

The Loews Hotel Philadelphia, PA

October 27 & 28, 2016

Thursday, October 27, 2016

8:00am **Registration Desk and Exhibits Open**

11:30am **Opening Lunch**

12:15pm **Welcoming Remarks**

Speakers: Mike Nicholas
CEO
Bond Dealers of America

John Fechter
Managing Director
Head of Taxable Fixed Income Trading
Wells Fargo Advisors
2016 BDA Board Chairman

12:30-1:30pm **A View from the Top:**
A discussion amongst industry leaders focused on the biggest trends impacting the U.S. securities markets, including the significant pace of regulatory change, the availability of new market technologies, and how buy-side and sell-side businesses are adapting to new business conditions.

Moderator: Tom Dannenberg
President & CEO
Hutchinson, Shockey, Erley & Co.

Speakers: John Ettelson
President & Chief Executive Officer
William Blair & Company

F. William McNabb III
Chairman & Chief Executive Officer
Vanguard

1:30 – 2:45pm **Market and Regulatory Trends Impacting U.S. Fixed Income Market Structure**

Moderator: Kevin McPartland
Principal, Market Structure & Technology
Greenwich Associates

Panelists: Richard Schiffman
Open Trading Product Manager
MarketAxess

Horace Carter
Head of Fixed Income Trading
Raymond James and Associates

Brian Brennan
Managing Director
KeyBanc Capital Markets

Bill Vulpis
Managing Director
KCG Bondpoint

2:45 – 3:15pm **Networking and Refreshment Break in Exhibit Area**

Concurrent Sessions

General Session Room

3:15 – 4:00pm **Current Trends Impacting Retail Fixed Income**

Moderator: Dan Kiley
Managing Director, Head of Municipal Fixed Income Trading
Wells Fargo Advisors

Panelists: Nick Goetze
Head of Fixed Income Services &
Managing Director, Fixed Income Capital Markets Division
Raymond James & Associates

Carrie McCann
Director, Relationship Manager
Tradeweb Direct

Tom Kane
Managing Director
Incapital LLC

Jim Wangsness
President
TMC Bonds

4:00 – 5:00pm **Municipal Disclosure Trends Post MCDC. What's Next?**

Moderator: Dan Deaton
Partner
Nixon Peabody

Panelists: Gregg Bienstock
CEO & Co-Founder
Lumesis

Katherine Newell
Director of Risk Management
New Jersey Educational Facilities Authority
GFOA Debt Committee Member

Ronald P. Bernardi
Principal, President and Chief Executive Officer
Bernardi Securities, Inc.

Stephen E. Heaney
Director of Public Finance
Stifel, Nicolaus & Co.

Ballroom C1

4:00 – 5:00pm **Fixed Income Technology – Gaining Market Share Through Better Utilization of Technology**

Moderator: Chris White
Founder & CEO
ViableMkts

Panelists: Alan Hogg
Principal
Galena Summit Consulting, LLC

AJ Maggio
Director, Fixed Income
Hilltop Securities Inc.

Sean Ladley
Managing Director, Fixed Income Sales
Wells Fargo Securities

General Session Room

5:00 – 5:30pm **Election 2016 Preview: How the 2016 Elections Will Shape Washington and Impact the U.S. Securities Markets**

Moderator: Bernard Bailey
Managing Director
Marketing and Business Development
Assured Guaranty

Speaker: David Urban
President
American Continental Group

5:30pm **Cocktail Reception in Exhibit Areas**

Dinner on your own

Friday, October 28

8:00am **Breakfast and Networking in Exhibit Area**

9:30 – 10:15am **Regulator Panel: Discussion of SEC, FINRA, and MSRB Fixed Income Regulation and Enforcement Priorities**

Moderator: Andrew Southerling
Partner
Morgan Lewis

Panelists: Michael Post
General Counsel
MSRB

Mark Zehner
Deputy Director, Public Finance Abuse Unit, Division of Enforcement
SEC

Cindy Friedlander
Director, Fixed Income Regulation, Regulatory Operations
FINRA

10:15 – 11:15am **Dealer Panel: Compliance, Regulatory, and Technology Challenges Facing Middle-Market Fixed Income Dealers**

Moderator: Elizabeth Baird
Partner Morgan
Lewis

Panelists: Chris Melton
Executive Vice President, Legal & Compliance
Coastal Securities

Bob Colby
Chief Legal Officer
FINRA

Peg Henry
Deputy General Counsel
Stifel Financial Corp.

11:15am – 12:15pm **Municipal Underwriting: U.S. Issuance and Credit Outlook for 2017**

Moderator: Matt Fabian
Partner
Municipal Market Advisors

Panelists: Suzanne Finnegan
Chief Credit Officer
Build America Mutual

Stephen Winterstein
Managing Director of Research & Chief Strategist,
Municipal Fixed Income
Wilmington Trust Investment Advisors

Nick Sourbis
Fixed Income Investor Relations
National Public Finance Guarantee

Susannah L. Page
Senior Vice President
Manager of Research
Roosevelt & Cross Incorporated

12:15pm

Closing Remarks

Speaker:

Mike Nicholas
Chief Executive Officer
Bond Dealers of America